



**STATE OF NORTH CAROLINA**  
**OFFICE OF STATE BUDGET AND MANAGEMENT**

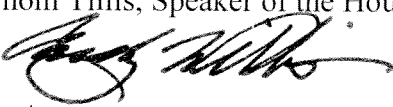
BEVERLY EAVES PERDUE  
GOVERNOR

ANDY WILLIS  
STATE BUDGET DIRECTOR

January 5, 2012

MEMORANDUM

TO: Senator Phil Berger, President Pro-Tempore of the Senate  
Representative Thom Tillis, Speaker of the House of Representatives

FROM: Andy Willis   
State Budget Director

SUBJECT: Consultation on Expenditure of Grant Awards

Pursuant to Section 5.2 of Session Law 2011-0145 (House Bill 200), the Office of State Budget and Management is to report to the Joint Legislative Commission on Governmental Operations prior to expending funds received from grant awards. Funding is anticipated to be received and expended for grants included in the attached Notifications of Application for Grant Funds/Awards.

If you have any questions or concerns, please contact me by telephone 919-807-4717 or email to [andy.willis@osbm.nc.gov](mailto:andy.willis@osbm.nc.gov).

Thank you.

AW\kl

# OSBM

## Notification of Application for Grant Funds/Awards, 2010-11

Office of State Budget and Management, 116 West Jones Street, Raleigh, NC 27603-8006, 919-807-4700  
Instructions at [http://www.osbm.state.nc.us/files/pdf\\_files/grants\\_inst.pdf](http://www.osbm.state.nc.us/files/pdf_files/grants_inst.pdf)

- 1 Department .....
- 2 Division (except in DHS) .....
- 3 DHS only, choose division from drop down list .....
- 4 Contact person (name) .....
- 5 Phone number .....
- 6 E-mail .....
- 7 Funding Entity (grantor) .....
- 8 CFDA number .....
- 9 Grant title .....
- 10 Grant application deadline (MM/DD/YY) .....
- 11 Start date of grant (MM/DD/YY) .....
- 12 End date of grant (MM/DD/YY) .....
- 13 Application type .....
- 14 Is this grant already in agency's continuation budget? .....
- 15 Budget code the grant will be expended in (XXXX) .....
- 16 Fund code (XXXX or NA) .....
- 17 Is there a state matching requirement? .....
- 18 If yes, what is the matching requirement? .....
- 19 If yes, what is the source of state funds being used to match grant funds? .....
- 20 Is there a maintenance of effort (MOE) requirement? .....
- 21 If yes, what is the MOE? .....
- 22 Is an additional General Fund appropriation required to meet the state match requirement? .....
- 23 Will any of these funds be passed through to local governments or non-state entities? .....
- 24 If yes, identify affected entities by type .....
- 25 Will additional state monies be required to continue the program if grant expires or is reduced? .....
- 26 If yes, is this a requirement of the grant? .....
- 27 Are new FTEs funded through the grant? .....
- 28 If yes, give the number by type for each year: Permanent .....
- 29 Time-Limited .....
- 30 Amount of grants funds applied for in each year .....
- 31 Amount of grants funds awarded in each year .....
- 32 Purpose of grant or amendment .....
- 33 Comments .....

Department of Health and Human Services  
State Operated Healthcare Facilities /  
Division of Mental Health/Developmental Disabilities/Substance Abuse Services  
Lisa R. Moon  
919-855-4715  
lisa.moon@dhs.nc.gov  
Duke Endowment

Strengthening Organizations - Implementing "Just Culture" Across the State  
Operated Healthcare Facilities

06/15/11  
01/01/12  
12/31/14  
New  
No  
14460  
1390  
No  
non-applicable

No requirement known at this time although this approach to risk management will be maintained following the implementation phase

No  
No  
Yes  
No  
Yes

For 2010-11

SFY 2009-10

Complete either Authorized or Proposed  
SFY 2010-11

SFY 2010-11

SFY 2011-12

SFY 2012-13

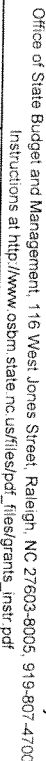
SFY 2013-14

Actual	Authorized	Proposed	Proposed	Proposed	Proposed
	0.000	0.000	1.000	1.000	1.000
	\$0.00	\$0.00	\$327,213.00	\$183,088.00	\$224,443.00
			\$200,000.00	\$200,000.00	\$200,000.00

The Division of State Operated Healthcare Facilities faces a growing demand for patient/resident safety and accountability. Implementation of "Just Culture" will improve the safety of patients/residents by creating a culture that increases the reporting of incidents, increases the consistency with which incidents compromising safety are dealt with and demonstrating progress toward culture change by improved scores on the survey tools conducted prior to and following implementation of "Just Culture".

The FTE will only be funded during implementation, it will not be necessary following the initial 3 years of the project.

Return completed form as email attachment and indicate in message that proper agency sign-offs have been obtained. Contact your OSBM budget analyst if you have questions.

Department of Health and Human Services

Division of Social Services  
Jack Griffin  
919-334-1026  
Jack.Griffin@dhs.nc.gov  
Open Society Institute through Z Smith Reynolds Foundation (Fiscal Agent)

## Job Boost Initiative

12/08/10
07/01/11
06/30/12
New
No
1440
1481
No

Yes

The Job Boost II expenditures are being allocated 80% to TANF funding and 20% to the Private Grant Funds from Open Society Institute.

No
Yes
local govt.
Yes
No
No

		For 2011-12			
		Complete either Authorized or Proposed			
		SFY 2010-11	SFY 2011-12	SFY 2011-12	SFY 2012-13
		Actual	Authorized	Proposed	Proposed
27	If yes, give the number by type for each year: Permanent		0.000		
	Time-Limited		0.000		
28	Amount of grants funds <u>applied</u> for in each year		\$1,500,000.00		
29	Amount of grants funds awarded in each year		\$1,500,000.00		
30	Purpose of grant or amendment		\$1,500,000.00		

The Z Smith Reynolds Foundation will serve as the Fiscal Agent for the State of North Carolina for the JobBoost Initiative, a project that will provide opportunities for up to 1000 unemployed TANF eligible adults to enter the work force, initially in subsidized jobs for up to 20 weeks, with an assurance from the employer that the job will be continued if the employee's performance is satisfactory.

31 Comments .....  
We applied for this grant to be used with our original Job Boost program, but an agreement for these funds were not reached until July 2011. The first check was received in August 2011.

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# SFY 11-12 New Grant Awards to Be Budgeted

1/6/2012

Grant Title	Date of Applic.	Purpose of Award	Local Subrecipients	Years of Award	Original Request		Actual Award		Match	FTEs	Award Date
					SFY 11-12	SFY 12-13	SFY 11-12	SFY 12-13			
Division of Social Services											
Z Smith Reynolds Foundation Grant provided by Open Society Institute (to be used for Job Boost II Program)	7/1/2011	These funds along with TANF funding will provide extended employment opportunities to unemployed, low-income TANF eligible families. These additional funds allow NC to expand the project and serve an additional 1,000 individuals in counties with the highest unemployment rates and highest number of individuals living at or below 200% federal poverty levels.	currently 31 counties are receiving funding	1	\$ 750,000	\$ 750,000	\$ 750,000	\$ 750,000	None	1	8/21/07
Division of Mental Health, Developmental Disabilities and Substance Abuse Services											
Implementing Just Culture Across North Carolina's State Operated Healthcare Facilities	6/15/2011	The Just Culture learning model will be implemented in State Operated Healthcare Facilities to reduce instances of patient abuse and neglect. Just Culture promotes systematic improvements over individual punishment of healthcare workers suspected of patient abuse thereby encouraging self-reporting of incidences patient neglect/abuse. Just Culture is an endorsement based workforce development model implemented and endorsed by the NC Hospital Association and the NC Board of Nursing. It has been successful in improving patient safety and gives healthcare workers a stake in the improvement process while maintaining the Department's policy of zero tolerance for abuse and for meeting the public's expectations of safe and quality care for persons in State Operated Facilities. Funding is from the Duke Endowment.		3	\$ 200,000	\$ 200,000	\$ 200,000	\$ 200,000	None	1.00	11/8/2011